

Message Text

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PAGE 01 MEXICO 04408 300131Z

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ACTION EB-11

INFO OCT-01 ARA-16 ISO-00 SEC-03 L-03 DRC-01 RSC-01 /036 W
----- 079600

R 292312Z MAY 74
FM AMEMBASSY MEXICO
TO SECSTATE WASHDC 1848

UNCLAS MEXICO 4408

E.O. 11652: N/A
TAGS: EFIN, MX
SUBJ: MEXICAN REGULATION OF FOREIGN BROKERS AND UNDERWRITERS

REF: STATE 079749

1. TO THE LIMITED EXTENT THAT US. CITIZENS OPERATE
IN THE SECURITIES INDUSTRY IN MEXICO, THEY DO SO IN
GENERAL TERMS ON THE SAME BASIS AND UNDER THE SAME
LAWS AND REGULATIONS AS MEXICAN NATIONALS. THE US.
CITIZEN MUST OBTAIN REGULAR "WORKING PAPERS," OFTEN
A DIFFICULT TASK, AND MUST THEREAFTER ABIDE BY THE
APPROPRIATE MEXICAN RULES.

2. THE EMBASSY IS UNAWARE OF ANY COMPLAINTS ON THE
PART OF US. CITIZENS IN THE FINANCIAL FIELD THAT THEY
RECEIVE OTHER THAN NATIONAL TREATMENT ONCE THEY OBTAIN
WORKING PAPERS HERE. THERE ARE VARIOUS REGULATIONS FOR
THE REGISTRATION OF FOREIGN FINANCIAL INSTITUTIONS IN
MEXICO (A-168 OF 4/9/74). THE MOST RECENT MODIFICATIONS
IN FINANCIAL AWS AND REGULATION WERE PUBLISHED IN THE DIARIO
OFICIAL OF JAN 3, 1974, WHICH WAS TRANSMITTED TO THE DEPARTMENT
EARLIER, BUT ANOTHER COPY OF WHICH IS BEING SENT ARA/MEX
TODAY (MAY 29).
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Message Attributes

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